

**ABOVE PEER**

- + AUM growth +18% YoY
- + Sharpe ratio 1.21
- + Team tenure stability
- + Low redemption history

**BELOW PEER**

- ESG disclosure
- Liquidity terms vs peers
- Transparency on leverage

CRD 174392 · CIK 0001584928 · Generated Apr 06, 2026

## Firm Overview

Legal Name	Meridian Capital Management LP
CRD Number	174392
CIK	0001584928
Website	https://www.meridiancapmgmt.com
SEC Registered	Yes
Registration Status	APPROVED
AUM (Total)	\$4.20B
AUM (Discretionary)	\$4.20B
Number of Clients	38
Client Types	Pooled Investment Vehicles: 31, Pension Plans: 7
Fee Structures	Performance-based fees, Percentage of AUM
ADV Filing Date	2026-03-15 [Current]

## Team Roster

Name	Title	Professional Background	FINRA / BrokerCheck
James R. Thornton	Founder & CIO	Former PM at Highbridge Capital. 22 years in L/S equity. MBA Wharton.	No disclosures
Sandra L. Okeke	Partner, Head of Research	Ex-Goldman Sachs TMT analyst. 16 years experience. CFA charterholder.	No disclosures
Patrick M. Huang	Partner, Risk	Previously at Citadel risk management. Quant background, MIT PhD.	No disclosures
Rachel T. Burns	CFO & COO	Former CFO at Balyasny Asset Management. CPA, 18 years in fund ops.	No disclosures
Daniel J. Reyes	Portfolio Manager	Healthcare specialist. Previously at OrbiMed Advisors. 12 years.	No disclosures

Source: AltBots team profiles pipeline · 5 member(s) · Bios synthesized by Claude Haiku

## Social Signals (Exa - Claude Haiku - Last 90 Days)

Date	Title	Source	Type
Mar 18, 20	Meridian Capital raises \$600M in latest fund close	Bloomberg	fundraising
Feb 28, 20	Thornton outlines macro thesis at SALT Conference 2026	HedgeWeek	commentary
Jan 15, 20	Meridian adds healthcare PM amid sector rotation	Reuters	personnel
Nov 04, 20	Q3 letter: Meridian up 14.2% YTD vs 9.1% benchmark	LP Letter	performance
Sep 22, 20	Meridian expands prime brokerage relationship with Goldman	FINAIts	operations

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## SEC Filing Snapshot

ADV FILING SUMMARY		13F HOLDINGS SNAPSHOT		ENFORCEMENT AND FORM D
<b>ADV Filing Date</b>	2026-03-15 [Current]	<b>Period</b>	2025-12-31	<i>No enforcement actions identified.</i>
<b>Reg. Status</b>	APPROVED	<b>Filed</b>	2026-02-14	=====
<b>SEC Registered</b>	Yes	<b>Recency</b>	Current	<b>Meridian Partners Fund IV LP</b>
<b>AUM (Total)</b>	\$4.20B	<b>Total Value</b>	\$2.85B	\$1.8B   Rule 506(b)   2024
<b>Clients</b>	38	<b>Positions</b>	47	<b>Meridian Offshore Fund Ltd</b>
<b>Client Types</b>	Pooled Investment Vehicles: 31, Pension...	<b>Top-10 Conc.</b>	42.3%	\$940M   Reg S   2023
<b>Fee Structures</b>	Performance-based fees, Percentage of A...	Microsoft Corp	10.9%	<b>Meridian Partners Fund III LP</b>
		Alphabet Inc Cl A	9.5%	\$1.2B   Rule 506(b)   2021
		Meta Platforms Inc	7.9%	<i>Filing count: 3 on record</i>

## Sanctions and Adverse Screening

Database	Scope	Result	Date	Database	Scope	Result	Date
OFAC SDN List	All principals	CLEAR	2026-04-06	PEP Database (Tier 1+...	All principals	No match found	2026-04-06
EU Consolidated Sanct...	All principals	CLEAR	2026-04-06	FINRA BrokerCheck	All registered reps	CLEAR — 0 disclosures	2026-04-06
UN Security Council	All principals	CLEAR	2026-04-06	SEC Enforcement Actio...	Firm + principals	CLEAR	2026-04-06
UK HMT Sanctions	All principals	CLEAR	2026-04-06	CFTC Actions Database	Firm + principals	CLEAR	2026-04-06
FinCEN Advisories	Firm + affiliates	CLEAR	2026-04-06	Adverse Media (AI sca...	AI-assisted web scan	No significant adverse coverage	2026-04-06

Sources: OFAC SDN, EU/UN/HMT sanctions registers, FinCEN, FINRA BrokerCheck, SEC/CFTC enforcement databases, adverse media AI scan | All queried Apr 06, 2026

## Indicative Performance Signals

**CONFIDENCE: Estimated**

<b>Est. Ann. Return (3yr)</b>	+16.8%	<b>Est. Sharpe Ratio</b>	1.21
<b>Est. Ann. Volatility</b>	12.4%	<b>Max Drawdown (3yr)</b>	-18.3%
<b>Best Year</b>	2023 (+38.1%)	<b>Worst Year</b>	2022 (-14.7%)
<b>S&amp;P; 500 Correlation</b>	0.68	<b>Beta to S&amp;P; 500</b>	0.81

Sources: Public LP disclosures, HFR Index comparables, third-party estimates | Confidence: Estimated

## Red Flags & Observations

✓ No material red flags identified from available data.

Data sources: SEC EDGAR · FINRA BrokerCheck · Exa.ai social scan · AltBots proprietary pipeline

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